

PATENT *EFW*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 03-465-D (400/151) )

Application of:

McSwiggen et al.

Serial No.: 10/826,966

Filing Date: April 16, 2004

For: RNA Interference Mediated Inhibition of  
Gene Expression Using Chemically  
Modified Short Interfering Nucleic Acid

Examiner: TBD

Group Art Unit: TBD

Confirmation No. 2134

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

**TRANSMITTAL LETTER**

In regard to the above identified application:

1. We are transmitting herewith the attached papers for the above identified new patent application:

- ☒ Information Disclosure Statement;
- ☒ Information Disclosure Statement (IDS) PTO-1449 Form; and
- ☒ Return Receipt Postcard.

2. With respect to additional fees, no additional fee is required.

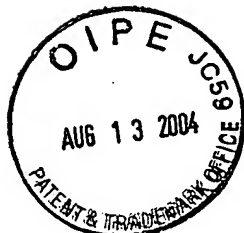
3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 on August 10 2004.

By :

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. This application is a continuation-in-part of US Serial No. 10/757,803 filed January 14, 2004, which is a continuation-in-part of US Serial No. 10/720,448 filed November 24, 2003, which is a continuation-in-part of US Serial No. 10/693,059 filed October 23, 2003, which is a continuation-in-part of US Serial No. 10/444,853 filed May 23, 2003, which is a continuation-in-part of US Serial No. 10/417,012 filed April 16, 2003 and is relied upon for an earlier filing date under 35 U.S.C. § 120. In accordance with Rule 37 CFR §1.98(d), all references have been previously cited and submitted to the Patent and Trademark Office with the prior application US Serial No. 10/444,853.

The Office has waived the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent

applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. In accordance with this waiver, cited U.S. patents and U.S. patent application publications are not enclosed.

This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

Applicants do not believe any fee is due with this submission. If this belief is in error and the Patent Office determines that the fee prescribed in the relevant portion of 37 C.F.R. Section 1.97 is applicable, the undersigned attorney by his signature hereby authorizes any such fee to be debited from Deposit Account 13-2490.

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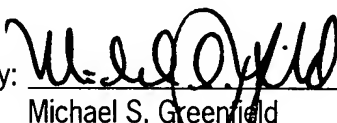
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**Applicant:**

McSwiggen et al.

**Filing Date:**

April 16, 2004

**Group:**

**U.S. PATENT APPLICATION DOCUMENTS**

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**Atty. Docket No.**

03-465-D  
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**Serial No.**

10/826,966

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							Yes	No
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	*	2,359,180	08/03/00	CA (Kreutzer et al.)				
	*	1144623 B1	01/29/02	EP (Kreutzer et al.)				
	*	89/02439	03/23/89	WO (Arnold et al.)				
	*	90/14090	11/29/90	WO (Gillespie et al.)				
	*	91/03162	03/21/91	WO (Rossi et al.)				
	*	92/07065	04/30/92	WO (Eckstein et al.)				
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Serial No.

10/826,966

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April 16, 2004

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